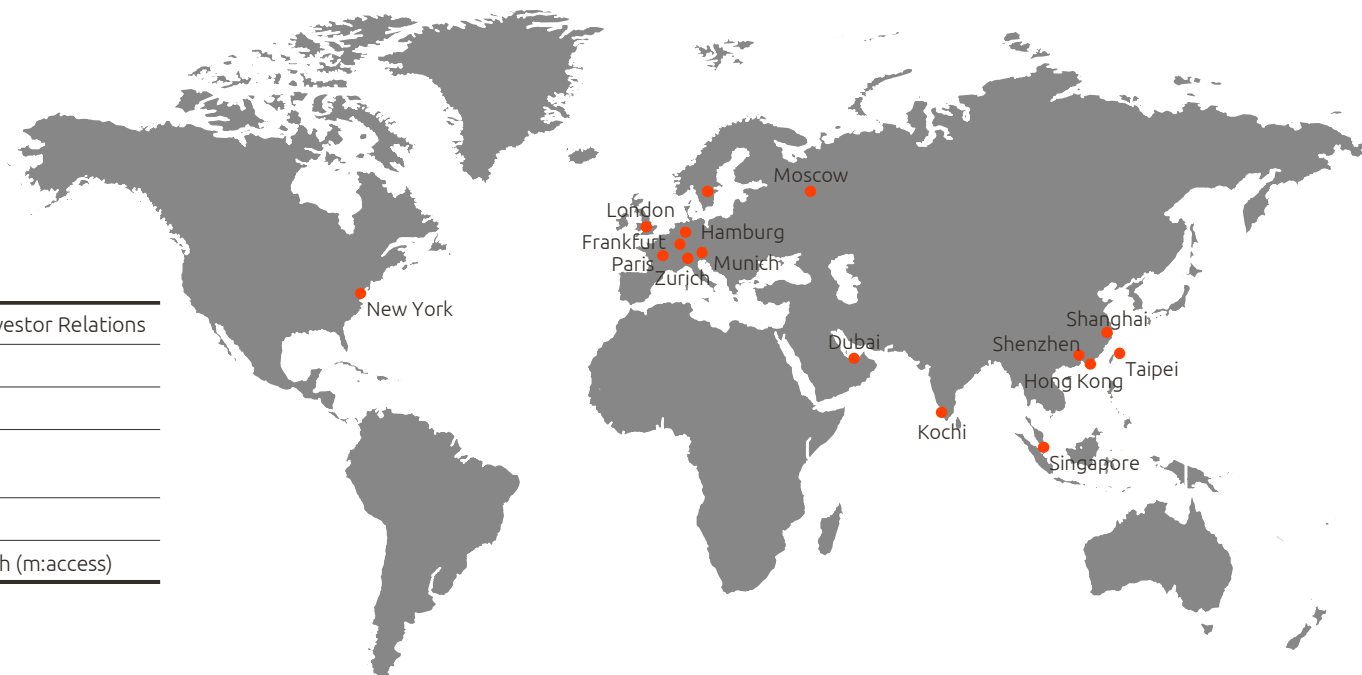


» MAR compliant at all times:
The INSIDER MANAGER «

» About the EQS Group «

Sector	Digital Compliance & Investor Relations
Foundation	2000 in München
Employees	> 400
Management	Achim Weick (CEO), Christian Pflieger (COO)
Clients	Around 8.000
Listing	Frankfurt (Scale), Munich (m:access)





Peter Galva

Sales Manager Scandinavia and Baltic region
EQS Group

Tel.: +46 703381616

peter.galva@eqs.com

After 19 years with IR/PR communication at Hugin, Thomson Reuters and Cision in Scandinavia/Baltic Region, I have taken a step towards an even more IR/Compliance direction to help the Scandinavian companies.

» Market Abuse Regulation

The aim of the European Market Abuse Regulation (MAR) is to create uniform transparency and investor protection laws in the capital markets of E.U. member states. The result is significantly stricter insider legislation.

The EQS Group INSIDER MANAGER allows you to fulfil these new obligations according to Article 18 MAR securely, easily and reliably.

» The EQS INSIDER MANAGER «

Regulation: Governance & Compliance

24

Countries

610

Clients

» INSIDER MANAGER clients

Allianz LVMHContinental FRESENIUSTHE LINDE GROUPL'ORÉALSANOFISASe-onDiorHeineken®VEOLIASIEMENS





What if Finansinspektionen asks me for a specific version of the insider list?

✓ Automatic list versioning

I need to make sure all lists are in the correct format to avoid penalties...

✓ ESMA standard + UTC conversion

All insiders need to receive instruction and confirm them...

✓ Electronic instruction + confirmation

I need to ask all insiders for all required personal data...

✓ Insiders fill online form for personal data

All data needs to be safe and quickly accessible...

✓ Secure Cloud Software

My supervisor expects detailed reports regarding insider lists...

✓ Extensive search + export function



Save time. INSIDER MANAGER frees up time that you would otherwise spend...

- ...creating instruction letters, posting them, scanning them, archiving them
- ...asking insiders for their personal data, administrating and entering that data for dozens and hundreds of persons
- ...manually creating new versions of insider lists
- ...manually creating reports for supervisors, board members etc...

This way, you have more time to actually create value for the company.



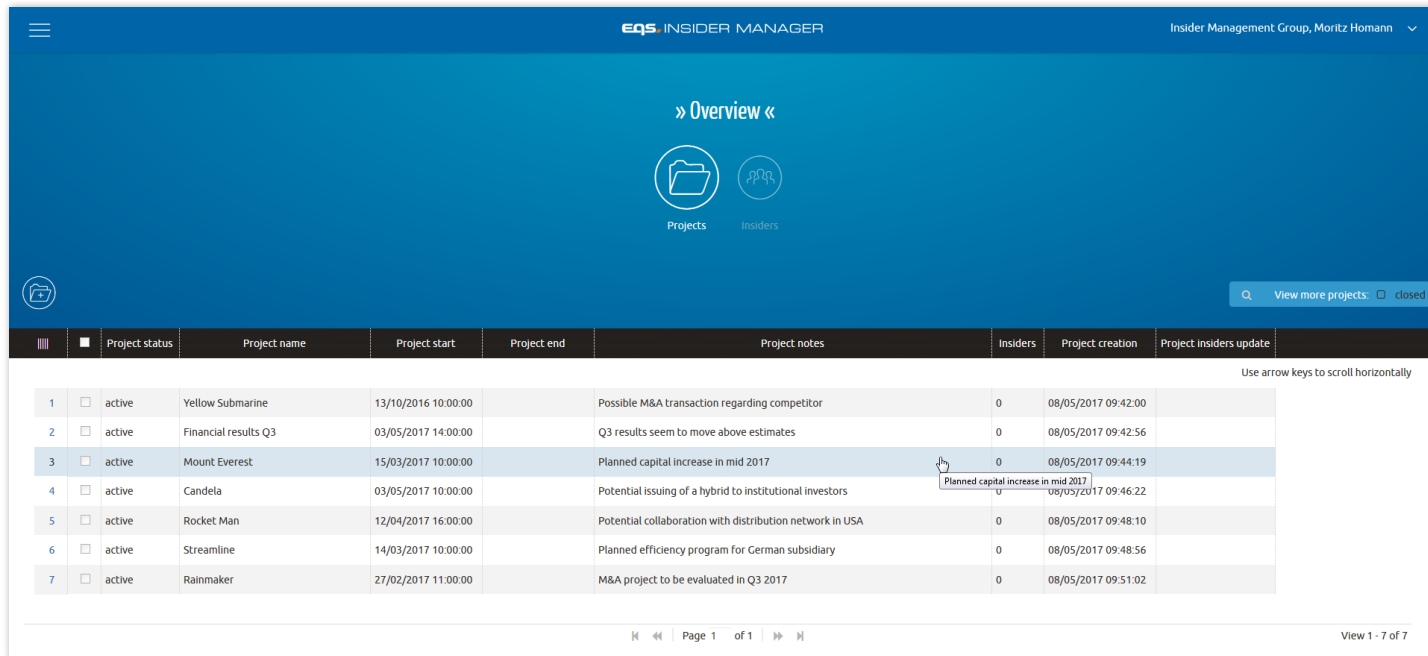
Be secure.

No need to be afraid of penalties, checks by the authorities and so on. Reduce risks. Benefit from our experience and regular updates to stay compliant and work in a secure environment at all times.



Act professional.

Inform insiders about their duties electronically, so they know what they can and can't do. Communicate efficiently towards your most important stakeholders: board members, executives and the like. Reduce questions and confusion.



		Project status	Project name	Project start	Project end	Project notes	Insiders	Project creation	Project insiders update
1	<input type="checkbox"/>	active	Yellow Submarine	13/10/2016 10:00:00		Possible M&A transaction regarding competitor	0	08/05/2017 09:42:00	
2	<input type="checkbox"/>	active	Financial results Q3	03/05/2017 14:00:00		Q3 results seem to move above estimates	0	08/05/2017 09:42:56	
3	<input type="checkbox"/>	active	Mount Everest	15/03/2017 10:00:00		Planned capital increase in mid 2017	0	08/05/2017 09:44:19	
4	<input type="checkbox"/>	active	Candela	03/05/2017 10:00:00		Potential issuing of a hybrid to institutional investors	0	08/05/2017 09:46:22	
5	<input type="checkbox"/>	active	Rocket Man	12/04/2017 16:00:00		Potential collaboration with distribution network in USA	0	08/05/2017 09:48:10	
6	<input type="checkbox"/>	active	Streamline	14/03/2017 10:00:00		Planned efficiency program for German subsidiary	0	08/05/2017 09:48:56	
7	<input type="checkbox"/>	active	Rainmaker	27/02/2017 11:00:00		M&A project to be evaluated in Q3 2017	0	08/05/2017 09:51:02	

Overview of the currently active insider projects (*deal-specific/event-based lists*)

Overview of saved insiders

Recipients

Your e-mail will be sent to these recipients:

ID	First name(s)	Surname(s)	Company name
73727	Michael	Schneider	Insider Management Grou..
73729	Thomas	Fisher	Deloitte
73731	Michelle	Augustin	Deloitte

Insider information templates

Choose a template for the creation of personalized insider information pdfs for ma

Erfassung Ihrer Person in der Insiderliste

Aufklärung nach Artikel 18 Abs. 2 der Verordnung (EU) Nr. 596/2014 des Europäischen Parlaments und des Rates über Marktmissbrauch

Aufklärung nach Artikel 18 Abs. 2 ...

mar_deutsch.pdf

Your inclusion on the inside

Declaration under Article 18 Para. 2 of Regulation (EU) No 596/2014 of the European Parliament and of the Council

Declaration under Article 18 Para. 2

mar_english.pdf

E-mail text

Sender

Insider Management Group – Compliance<mailer@eqs.com>

Mail language

English

Mail subject

Your inclusion on the insider list - Information acc. Article 18 MAR

68 / 100

Drafts Insert salutation Word upload Insert confirmation link Save Draft

10pt **B** *I* U [List Icons] [Link Icon]

{Salutation}

Under Article 18 Para. 1 of the Market Abuse Regulation (MAR) the (the "Issuer") has a duty to draw up a list of all the persons who have access to insider information where these persons discharge duties under a contract of employment or otherwise whereby they have access to insider information. This also includes consultants, accountants and rating agents.

This insider list must be updated immediately pursuant to Article 18 Para. 4 MAR and made available to the relevant authority (in Germany the German Federal Financial Supervisory Authority as soon as possible upon request.

We would like to inform you that we have included you or your company in this insider list, because you have authorised access to inside information relating to the issuer of insider securities or to the insider securities themselves because of your work.

For this reason we have a specific duty to notify you of the legal obligations arising from access to insider information as well as of the legal consequences in the event of contraventions, particularly in insider trading or unlawful disclosure of insider information, which we hereby comply with. The insider list includes the following details as a minimum:

Emails with automatically generated instruction letters and link for electronic confirmation

ts	Insider information sent	Information confirmed	
	08/02/2017 11:54:00	09/02/2017 12:13:00	Insi
	12/10/2016 15:33:00	14/10/2016 11:13:00	Del
	11/10/2016 09:56:00	11/10/2016 11:34:00	Del
	08/03/2017 14:32:00	11/10/2016 09:56:00 02:00	Insi
	03/05/2017 13:00:00	04/05/2017 08:43:00	Pric
	20/04/2017 10:32:00	25/04/2017 11:43:00	Gol
	12/01/2017 16:43:00	12/01/2017 18:22:00	Insi
	01/05/2017 15:23:00	02/05/2017 11:33:00	Gol
	03/05/2017 10:54:00	04/05/2017 17:44:00	Insi

Timestamps for sending and confirming instructions are saved automatically

Dear Mr. Galva,

On 04.04.2018/12:32 you received an email regarding your inclusion in the insider list of EQS Test Sweden.

Please see the instructions provided in that email in order to provide the necessary information.

Thank you for your cooperation!

Best regards
EQS Test Sweden

A reminder mail regarding the inclusion of an insider in the insider list was sent to these recipients:
Peter JR, Galva, EQS Test Sweden, peter.galva@gmail.com, 04.04.2018/12:32
Peter JR, Galva, EQS Test Sweden, peter.galva@gmail.com, 05.04.2018/10:36

Ex of Reminder email to the insider and how its looks like at the admin user

This insider list must be updated immediately pursuant to Article 18 Para. 4 MAR and made available to the relevant authority (in Germany the German Federal Financial Supervisory Authority [Bundesanstalt für Finanzdienstleistungsaufsicht - BaFin]) as soon as possible upon request.

We would like to inform you that we have included you or your company in this insider list, because you have authorised access to inside information relating to the issuer of insider securities or to the insider securities themselves because of your work.

Please update/complete the information required and send this data along with the confirmation shown below to the Issuer by clicking the SEND button.

Personal information

<p>First name(s)</p> <input type="text" value="Michael"/>	<p>Gender ¹</p> <input type="text" value="male"/>	<p>Title ¹</p> <input type="text"/>
<p>Surname(s)</p> <input type="text" value="Miller"/>	<p>Date of birth</p> <input type="text" value="11/05/1977"/>	
<p>Birth surname(s)</p> <input type="text"/>	<p>National identification number ¹</p> <input type="text"/>	
<p>Street name</p> <input type="text"/>	<p>Street number</p> <input type="text"/>	<p>Personal e-mail address ¹</p> <input type="text" value="michael.miller@company.com"/>
<p>City</p> <input type="text"/>	<p>Post/zip code</p> <input type="text"/>	<p>Telephone number home ¹</p> <input type="text"/>
<p>Country</p> <input type="text"/>		<p>Mobile telephone number ¹</p> <input type="text"/>

Insiders enter their personal data in an online form, data is saved in the INSIDER MANAGER

- Automatic project close mails
- Extended reminder function
- Extensive support function
- Complete GDPR compliance

Thank you for your attention.



Peter Galva
Sales Manager Scandinavia and Baltic region
EQS Group

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Further information on the INSIDER MANAGER: <http://insider-manager.com/>.